

“Let us never negotiate out of fear.  
But let us never fear to negotiate.”

– John F. Kennedy

Mediation, unlike arbitration or lawsuits in court, offers parties the ability to resolve their dispute on their own terms. Mr. Gregory has served as a mediator since 1995. He has mediated employment cases for the EEOC and for cases pending in federal court. He has mediated securities cases involving both customer and industry disputes. He has mediated small claims cases and cases with millions of dollars at stake. He has mediated commercial disputes and sports management disputes. He is willing and prepared to mediate any and all manner of civil disputes including domestic relations matters.

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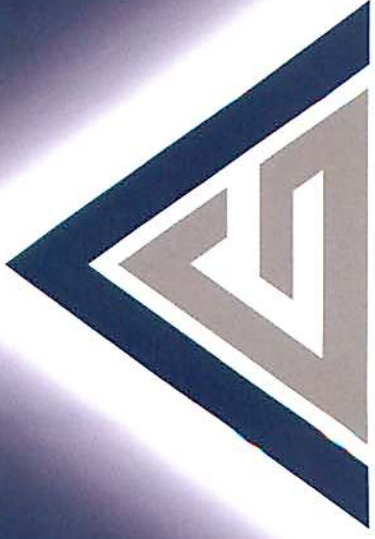
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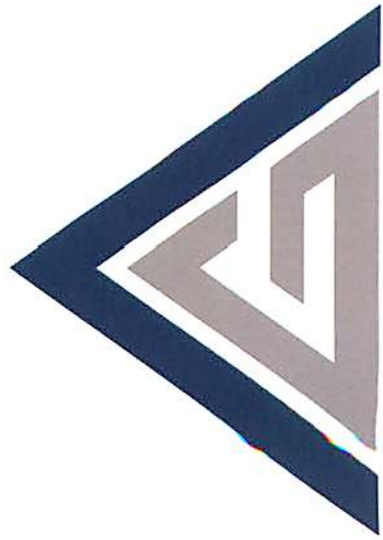
No representation is made that the quality of the legal services to be performed is greater than the quality of legal services performed by other lawyers.



GREGORY LAW FIRM, P.C.

# SECURITIES MEDIATION

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## Mediation Training

Domestic Violence Mediation Training  
Mediation Media, Inc.

Divorce Mediation Training  
Mediation Media, Inc.

Teaching the Mediator  
Mediation Media, Inc.

Advanced Mediation: Mediation of Complex Disputes  
Harvard Negotiation Institute

Appellate Mediation Training  
Alabama Center for Dispute Resolution

Advanced Mediation Training  
Mediation Media, Inc.

Expungement Training  
FINRA

Motion to Dismiss Training  
FINRA

Training, Revised Code of Arbitration  
FINRA

Expungement Training  
NASD

New Chairperson Training  
NASD

Mediation Training  
The Mediation Corp.

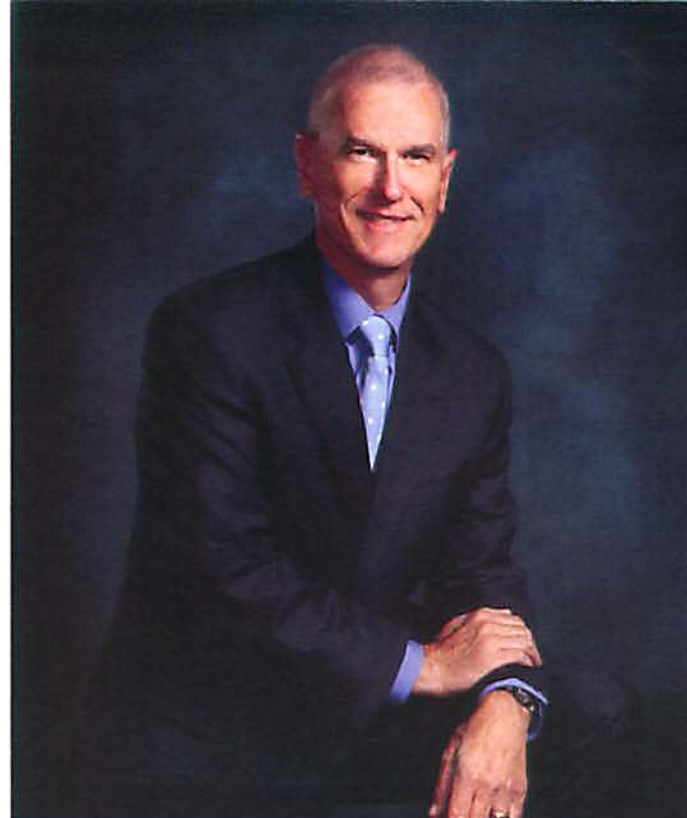
Introductory Securities Arbitrator Training  
NASD

Before attending law school, Mr. Gregory worked in the securities industry as a series 7 licensed stockbroker, focusing on investments in high-grade common stocks and taxable and tax-exempt debt securities for both retail and institutional clients. Because of this background in the securities industry, his legal work has focused in part on securities and business-related litigation and arbitration, representing investors, broker-dealers, and registered representatives in court and in arbitration. One of the few securities litigators in the United States with experience in the securities industry and as a FINRA/NASD arbitrator, Mr. Gregory has served as an arbitrator and mediator for the Financial Regulatory Authority (FINRA, formerly the National Association of Securities Dealers) since 1995, appearing in over one hundred arbitrations and chairing many of those panels.

Steven Gregory's securities arbitration experience has led to broader practice in alternative dispute resolution. Mr. Gregory has conducted mediations in securities, employment, commercial, sports marketing, and small claims matters, and he is a member of the roster of mediators maintained by the Alabama Center for Dispute Resolution (see his profile here) and the United States District Court for the Northern District of Alabama.



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Mr. Gregory has practiced law since 1991. For most of that time, he has been a certified mediator and arbitrator. He is a member of the rosters of mediators and arbitrators maintained by the Alabama Center for Dispute Resolution and the panel of mediators maintained by the United States District Court for the Northern District of Alabama. He is also registered as a mediator with the Financial Regulatory Authority (FINRA).

Mr. Gregory's standard fee is two hundred dollars per hour (\$200/hour) for both arbitration and mediation services, with the same rate applicable to preparation and hearings/mediation sessions. He is willing to travel for mediation or arbitration (in any forum in which sessions are conducted in English), and does not charge for travel time unless that time is also utilized for preparation.